

# Temperature and confined fermionic systems

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# Introduction

This set of results is due to the effort of many authors among whom I cite:

- A. Nefediev
- S. Cotanch, A. Szczepaniak
- L. Ya. Glozman
- Pedro Bicudo, Gonçalo Marques, Ricardo
- F. Llanes Estrada
- G. Krein
- Yu Kalashnikova
- A. Vairo and N. Brambilla
- V. Beveren, G. Rupp and many others

# Vacuum Structure in Strong Magnetic fields

The Hamiltonian of a relativistic fermion in an external field  $A_\mu$  has the following form in 2+1 dimensions:

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- This problem is exactly solvable and constitutes a demonstration model for more complicated situations i.e. 3+1 dimensions
- We will use Bogolioubov-Valatin pseudo-unitary transformation to obtain the known results

$${}_B \langle 0 | \psi^\dagger(\mathbf{x}) \psi(\mathbf{x}) | 0 \rangle_B = -\frac{|eB|}{2\pi} ,$$

$$E_n = \sqrt{m^2 + 2n|eB|}$$

with  $n$  numbering the Landau levels

# An example of Valatin-Bogolioubov Transformations

Three steps to construct the wave-function of a particle in a magnetic field from the wave-function of a free particle. From,

$$\psi(\mathbf{x}) = \sum_{\mathbf{p}} \frac{1}{\sqrt{L_x L_y}} \left\{ \mathbf{u}(\mathbf{p}) \mathbf{a}_{\mathbf{p}} + \mathbf{v}(\mathbf{p}) \mathbf{b}_{-\mathbf{p}}^{\dagger} \right\} e^{i\mathbf{p} \cdot \mathbf{x}}$$

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$$\bullet \quad u(\mathbf{p}) = \sqrt{\frac{E_{\mathbf{p}} + m}{2E_{\mathbf{p}}}} \begin{bmatrix} 1 \\ \frac{p_y - ip_x}{E_{\mathbf{p}} + m} \end{bmatrix}; \quad v(\mathbf{p}) = \sqrt{\frac{E_{\mathbf{p}} + m}{2E_{\mathbf{p}}}} \begin{bmatrix} -\frac{p_y + ip_x}{E_{\mathbf{p}} + m} \\ 1 \end{bmatrix}$$

$$\bullet \quad \{a_{\mathbf{p}}^\dagger, a_{\mathbf{p}'}\} = \{b_{\mathbf{p}}^\dagger, b_{\mathbf{p}'}\} = \delta_{p_x p'_x} \delta_{p_y p'_y}, \quad E_{\mathbf{p}} = \sqrt{m^2 + |\mathbf{p}|^2}.$$

The  $u$  and  $v$  spinors are the solutions of the Dirac equation for positive and negative energy respectively. (with  $\cos \phi = \sqrt{\frac{E_{\mathbf{p}} + m}{2E_{\mathbf{p}}}}$ ,  $\sin \phi = \sqrt{\frac{E_{\mathbf{p}} - m}{2E_{\mathbf{p}}}}$ .)



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$\bullet$  Step 1: perform a canonical transformation given by,

$$\begin{bmatrix} \tilde{a}_{\mathbf{p}} \\ \tilde{b}_{-\mathbf{p}}^\dagger \end{bmatrix} = R_\phi(\mathbf{p}) \begin{bmatrix} a_{\mathbf{p}} \\ b_{-\mathbf{p}}^\dagger \end{bmatrix} \quad \begin{bmatrix} \tilde{u} \\ \tilde{v} \end{bmatrix} = \mathbf{R}_\phi^*(\mathbf{p}) \begin{bmatrix} u(\mathbf{p}) \\ v(\mathbf{p}) \end{bmatrix}$$

$$\bullet R_\phi(\mathbf{p}) = \begin{bmatrix} \cos \phi & -\sin \phi (\hat{p}_y + i\hat{p}_x) \\ \sin \phi (\hat{p}_y - i\hat{p}_x) & \cos \phi \end{bmatrix}, \quad \hat{\mathbf{p}} = \frac{\mathbf{p}}{|\mathbf{p}|}$$

# An example of Valatin-Bogoliubov Transformations II

- The vacuum associated to the new operators  $\tilde{a}$  and  $\tilde{b}$  is given by
$$|\tilde{0}\rangle = S|0\rangle = \prod_{\mathbf{p}} (\cos \phi + \sin \phi a_{\mathbf{p}}^{\dagger} b_{-\mathbf{p}}^{\dagger}) |0\rangle$$
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- This inner product is invariant under V-B transformations: any rotation in the Fock space must engender a counter-rotation in the Hilbert space.
- The choice of  $\phi$  is to ensure the new spinors  $\tilde{u}$  and  $\tilde{v}$  are momentum independent:
 
$$\tilde{u} = \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \quad \tilde{v} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$
 so that all the momentum dependence of  $\psi$  is stored in
 
$$\{\tilde{a}_{\mathbf{p}}, \tilde{b}_{\mathbf{p}}\} = S\{\hat{a}, \hat{b}\}S$$

# Landau Levels

● Get the Landau Level representation

● Use  $e^{ip_y y} = e^{-i\ell^2 p_x p_y} \sqrt{2\pi} \sum_{n=0}^{\infty} i^n \omega_n(\xi) \omega_n(\ell p_y)$

$$\omega_n(x) = (2^n n! \sqrt{\pi})^{-1/2} e^{-x^2/2} H_n(x)$$

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● The wave function in the new basis can be written in the following way:

$$\psi(\mathbf{x}) = \sum_{n p_x} \frac{1}{\sqrt{lL_x}} \left\{ \hat{u}_{np_x}(y) \hat{a}_{np_x} + \hat{v}_{np_x}(y) \hat{b}_{n-p_x}^\dagger \right\} e^{ip_x x}$$

$$\begin{bmatrix} \hat{a}_{np_x} \\ \hat{b}_{n-p_x}^\dagger \end{bmatrix} = \sum_{p_y} \frac{i^n \sqrt{2\pi l}}{\sqrt{L_y}} \begin{bmatrix} \omega_n(lp_y) & 0 \\ 0 & -\omega_{n-1}(lp_y) \end{bmatrix} \begin{bmatrix} \tilde{a}_{\mathbf{p}} \\ \tilde{b}_{-\mathbf{p}}^\dagger \end{bmatrix}$$

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- The new operators satisfy the anticommutation relations:

$$\{a_{n p_x}^\dagger, a_{n' p'_x}\} = \{b_{n p_x}^\dagger, b_{n' p'_x}\} = \delta_{nn'} \delta_{p_x p'_x}$$

- The vacuum is invariant under this change of basis, i.e.,  $\hat{a}_{np_x} |\tilde{0}\rangle = 0$  ,  $\hat{b}_{np_x} |\tilde{0}\rangle = 0$

# An example of Mass Gap Equation

There are several approaches one can adopt to obtain the mass gap equation:

- 1-It can be derived as the condition for the vacuum energy to be a minimum, or,
- 2-getting rid of anomalous Bogolioubov terms or,
- 3-in the form of a Dyson equation for the fermion propagator, or
- 4-as a Ward identity.

Here we use 2. We have with  $\cos \theta_n = \sqrt{\frac{E_n + m}{2E_n}}$  ,  $\sin \theta_n = \sqrt{\frac{E_n - m}{2E_n}}$



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- The  $\theta_n$  angles are to be found by imposing the vanishing of the anomalous terms in the Hamiltonian. A simple algebraic computation yields the following mass gap equations,

$$\begin{cases} (\ell m \cos \theta_0 + \sin \theta_2 / \sqrt{2}) \sin \theta_0 = 0 , & n = 0 , \\ \ell m \sin 2\theta_n - \sqrt{2n} \cos 2\theta_n = 0 , & n > 0 , \end{cases}$$

- For any  $n$  have the following solution:  $\tan 2\theta_n = \frac{\sqrt{2n|eB|}}{m}$  ,  $E_n = \sqrt{m^2 + 2n|eB|}$

# Vacuum Condensates and 3+1

It remains to construct the vacuum state in a magnetic field  $|0\rangle_B$ , annihilated by the operators  $a_{np_x}$  and  $b_{np_x}$ :

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- Next we consider the problem of dynamical symmetry breaking in the presence of the magnetic field.

We obtain  ${}_B\langle 0 | \psi^\dagger(\mathbf{x}) \psi(\mathbf{x}) | 0 \rangle_B = -\frac{|eB|}{2\pi}$

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- In 3+1 Dimensions with quartic interactions these very same 3-steps can be performed

# A class of Hamiltonians

For this talk let us consider the simplest Hamiltonian containing the ladder-Dyson-Schwinger machinery for chiral symmetry.

In any case most of the results presented here do not depend on the kernel choice

$$H = \int d^3x q^\dagger(x) \left( -i\vec{\alpha} \cdot \vec{\nabla} \right) q(x) + \int \frac{d^3x d^3y}{2} J_\mu^a(x) K_{\mu\nu}^{ab}(x-y) J_\nu^b(y)$$

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This class of Hamiltonians has a rich structure enabling the study of a variety of hadronic phenomena controlled by global symmetries

- It is Chiral compliant: The fermions know about the kernel !
- Reproduces in a non-trivial manner the low energy properties of pion physics like, for instance,  $\pi - \pi$  Weinberg results for the scattering lengths together with Oakes-Renner, Goldberger-Treiman....
- Possesses the mechanism of pole-doubling in what concerns scalar decays

# Bogoliubov Transformations

We can rotate the creation and annihilation Fock space operators. It is canonical !



$$|\tilde{0}\rangle = \text{Exp} \left\{ \hat{Q}_0^+ - \hat{Q}_0 \right\} |0\rangle$$



$$\hat{Q}_0^+(\Phi) = \sum_{cf} \int d^3p \Phi(p) M_{ss'}(\theta, \phi) \hat{b}_{fcs}^+(\vec{p}) \hat{d}_{fcs'}^+(-\vec{p})$$

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With, the  ${}^3P_0$  Coupling (Parity +):

$$M_{ss'}(\theta, \phi) = -\sqrt{8\pi} \sum_{m_l m_s} \begin{bmatrix} 1 & 1 & |0 \\ m_l & m_s & |0 \end{bmatrix} \times \begin{bmatrix} 1/2 & 1/2 & |1 \\ s & s' & |m_s \end{bmatrix} y_{1m_l}(\theta, \phi)$$

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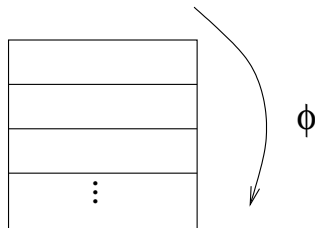
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The functions  $\Phi(p)$  classify the infinite set of possible Fock spaces:



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- Then we can consider the fermion field  $\Psi_{fc}(\vec{x})$  as an inner product between the hilbert space spanned by the spinors  $\{u,v\}$  and the Fock space spanned by the operators  $\{\hat{b}, \hat{d}\}$ :

$$\Psi_{fc}(\vec{x}) = \int d^3p \left[ u_s(p) b_{cf_s}(\vec{p}) + u_s(p) d_{cf_s}^+(\vec{p}) \right] e^{i\vec{p} \cdot \vec{x}}$$

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- So that requiring invariance of  $\Psi_{fc}(\vec{x})$  under the Fock space rotations, is tantamount to require a counter-rotation of the spinors  $u$  and  $v$ ,

$$\begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} \cos \phi & -\sin \phi M_{ss'}^* \\ \sin \phi M_{ss'} & \cos \phi \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix}$$



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- So that requiring invariance of  $\Psi_{fc}(\vec{x})$  under the Fock space rotations, is tantamount to require a counter-rotation of the spinors  $u$  and  $v$ ,

$$\begin{bmatrix} u \\ v \end{bmatrix} = \begin{bmatrix} \cos \phi & -\sin \phi M_{ss'}^* \\ \sin \phi M_{ss'} & \cos \phi \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix}$$

- The  $\{u,v\}$ , contain now the information on the angle  $\phi(p)$ .

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- ...this state should be degenerate with the vacuum. However **in the chiral limit** it turns out that such a pion-like excitation is non-normalizable !



# Mass Gap Equation

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We have several ways of arriving at the mass gap equation

- $\hat{H}_2[anomalous] = 0$
- Minimization of  $H_0 : \frac{\delta H_0}{\delta \varphi} = 0$ .
- Ward identities

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● With the full propagator being,

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● We have a nonlinear equation for the mass operator  $\Sigma$ ,

$$i\Sigma(\vec{p}) = \hbar \int \frac{d^4k}{(2\pi\hbar)^4} V(\vec{p} - \vec{k}) \gamma_0 \frac{1}{S_0^{-1}(k_0, \vec{k}) - \Sigma(\vec{k})} \gamma_0,$$

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- the functions  $A_p$  and  $B_p$  represent the scalar part and the space-vectorial part of the effective Dirac operator.
- Finally  $\tan \varphi_p = \frac{A_p}{B_p}$

$\varphi_{p \rightarrow \infty} \rightarrow 0$ : only the vectorial part survives

$\varphi_{p \rightarrow 0} \rightarrow \pi/2$ : only the scalar part survives

## Breakdown of the expansion for $\varphi_p$ in powers of $\hbar$

• The mass gap equation  $A_p \cos \varphi_p - B_p \sin \varphi_p = 0$ , with

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- As  $\hbar$  vanishes, the chiral angle gets steeper and steeper at the origin, approaching the trivial solution  $\varphi_p = 0$  for all  $p$ 's and, in the limit of  $\hbar \rightarrow 0$ , we cease to have a low-momentum expansion of  $\varphi_p$ .

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- The mass gap equation  $A_p \cos \varphi_p - B_p \sin \varphi_p = 0$ , with

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- For free particles, only the classical part survives and the chiral angle reduces to the free Foldy angle,  $\varphi_p^{(0)} = \arctan \frac{m}{p}$ , which diagonalizes the free Dirac Hamiltonian  $H = \vec{\alpha}\vec{p} + \beta m$

- The mass gap in full ( $m = 0$ ) first,

$$pc \sin \varphi_p - mc^2 \cos \varphi_p = \frac{\hbar}{2} \int \frac{d^3 k}{(2\pi)^3} \tilde{V}(\vec{p} - \vec{k}) \left[ \cos \varphi_k \sin \varphi_p - (\hat{p}\hat{k}) \sin \varphi_k \cos \varphi_p \right].$$

- 1-Introduce dimensionless variables in the integral,  $\vec{p} = \mu c \vec{x}$  and  $\vec{k} = \mu c \vec{y}$ ; 2-define  $\mu$  such that the resulting equation defines only the profile of the chiral angle and does not contain any scale at all. We have  $\mu = \sqrt{\sigma \hbar c} / c^2$ . Expand  $\varphi_p$  in low-momentum,

$$\varphi_p \underset{p \rightarrow 0}{\approx} \frac{\pi}{2} - \text{const} \frac{pc}{\mu c^2} + \dots = \frac{\pi}{2} - \text{const} \frac{pc}{\sqrt{\sigma \hbar c}} + \dots$$

- As  $\hbar$  vanishes, the chiral angle gets steeper and steeper at the origin, approaching the trivial solution  $\varphi_p = 0$  for all  $p$ 's and, in the limit of  $\hbar \rightarrow 0$ , we cease to have a low-momentum expansion of  $\varphi_p$ .

- This was to be expected since we cannot build an action  $\mathcal{S}$  out of the string tension  $\sigma$  and the speed of light  $c$  to obtain an expansion  $\varphi_p = \frac{\hbar}{\mathcal{S}} \times f_1(p) + \frac{\hbar^2}{\mathcal{S}^2} \times f_2(p) + \dots$

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- In conclusion we have two different regimes according to the parameter  $m/\sqrt{\sigma}$ : The spontaneous breaking of chiral symmetry is relevant for  $m \ll \sqrt{\sigma}$ , with heavy quark physics relevant for the opposite

# Summary on V.B. transformations

The true vacuum, with the minimal vacuum energy, contains an infinite set of strongly correlated  ${}^3P_0$  quark-antiquark pairs

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- The V.B. transformations constitute an Abelian Group:

$$S_\varphi \begin{bmatrix} b^\dagger \\ d \end{bmatrix} S_{-\varphi} \rightarrow \mathcal{R}_{[\varphi]} \begin{bmatrix} b^\dagger \\ d \end{bmatrix}, \quad \mathcal{R}_{[\varphi]} \mathcal{R}_{[\tilde{\varphi}]} = \mathcal{R}_{[\varphi + \tilde{\varphi}]}$$

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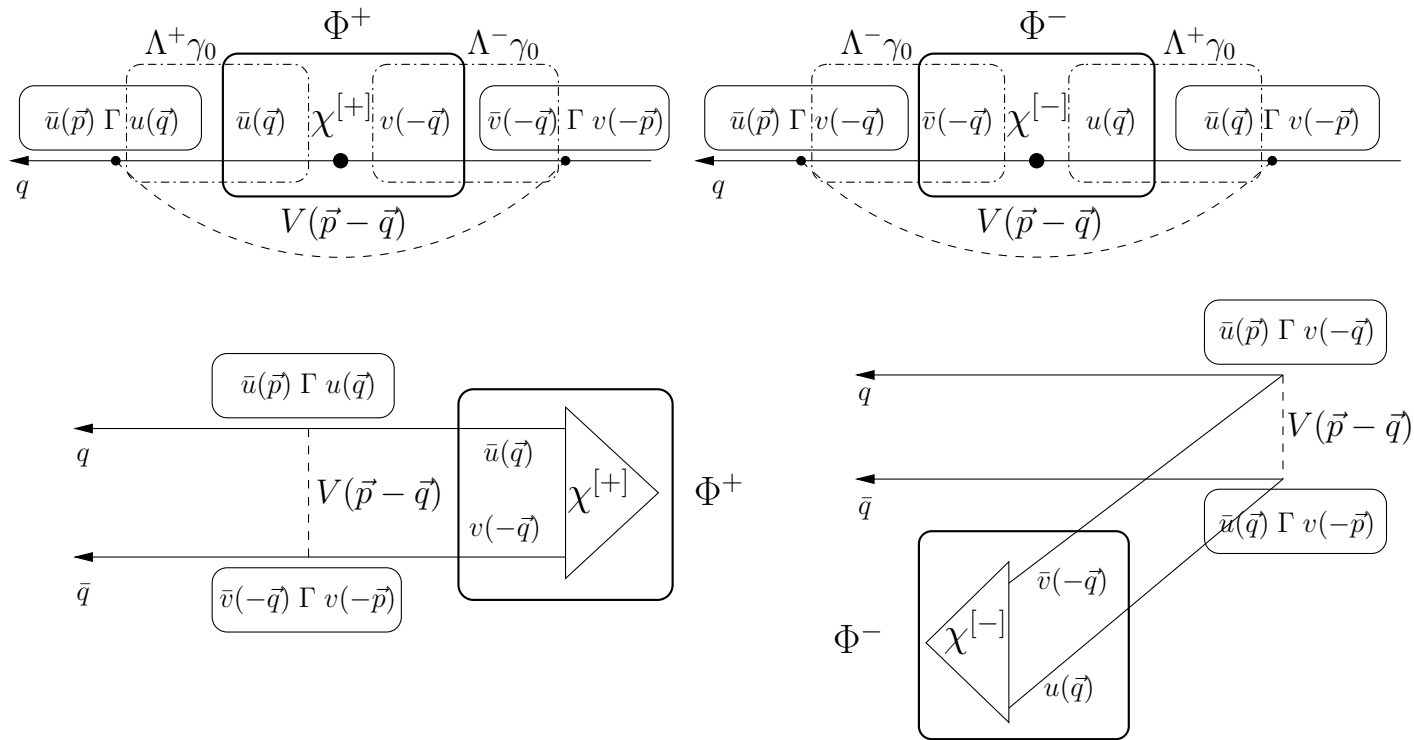
In the space of pions we can write the Hamiltonian as,

$$H = \sigma_3 \begin{bmatrix} \Phi^+ \\ \Phi^- \end{bmatrix} m_\pi \begin{bmatrix} \Phi^+, & \Phi^- \end{bmatrix} \sigma_3 + \sigma_3 \begin{bmatrix} \Phi^- \\ \Phi^+ \end{bmatrix} m_\pi \begin{bmatrix} \Phi^-, & \Phi^+ \end{bmatrix} \sigma_3$$



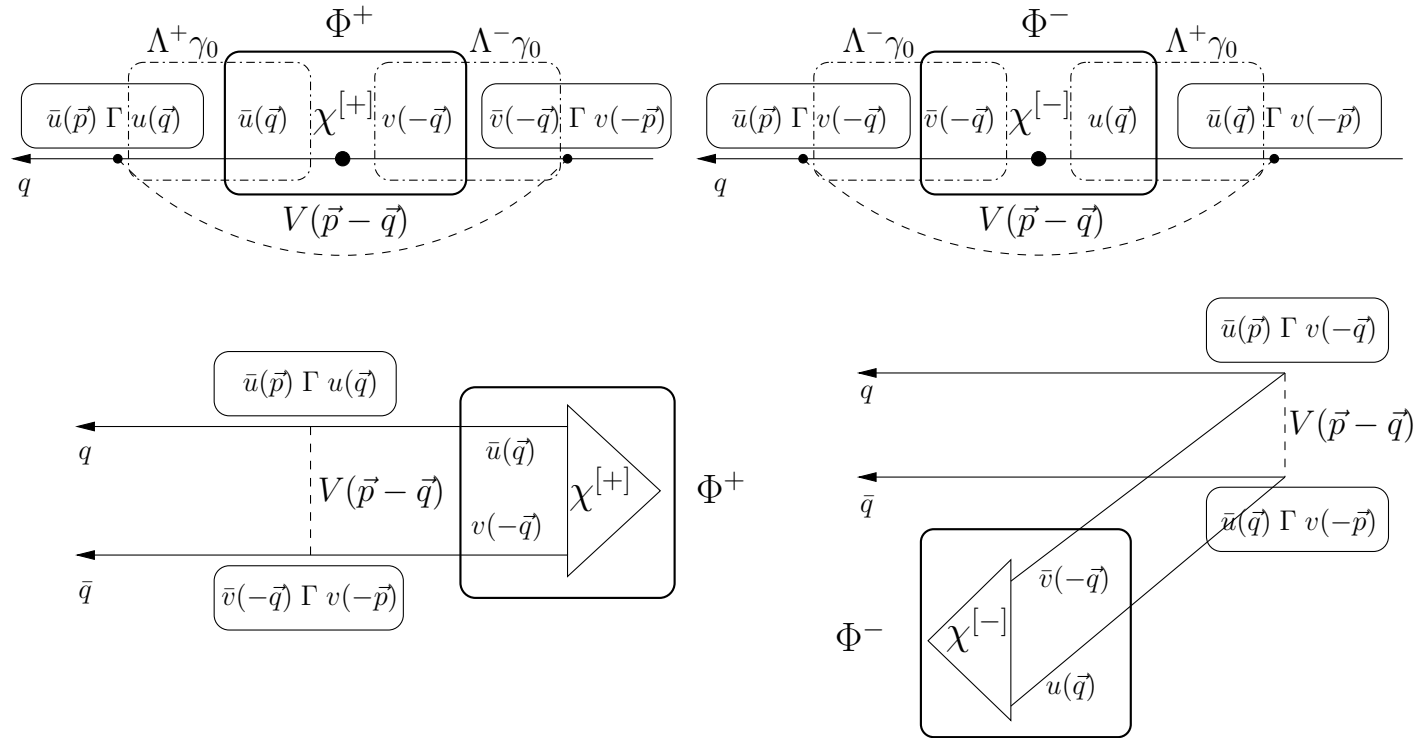
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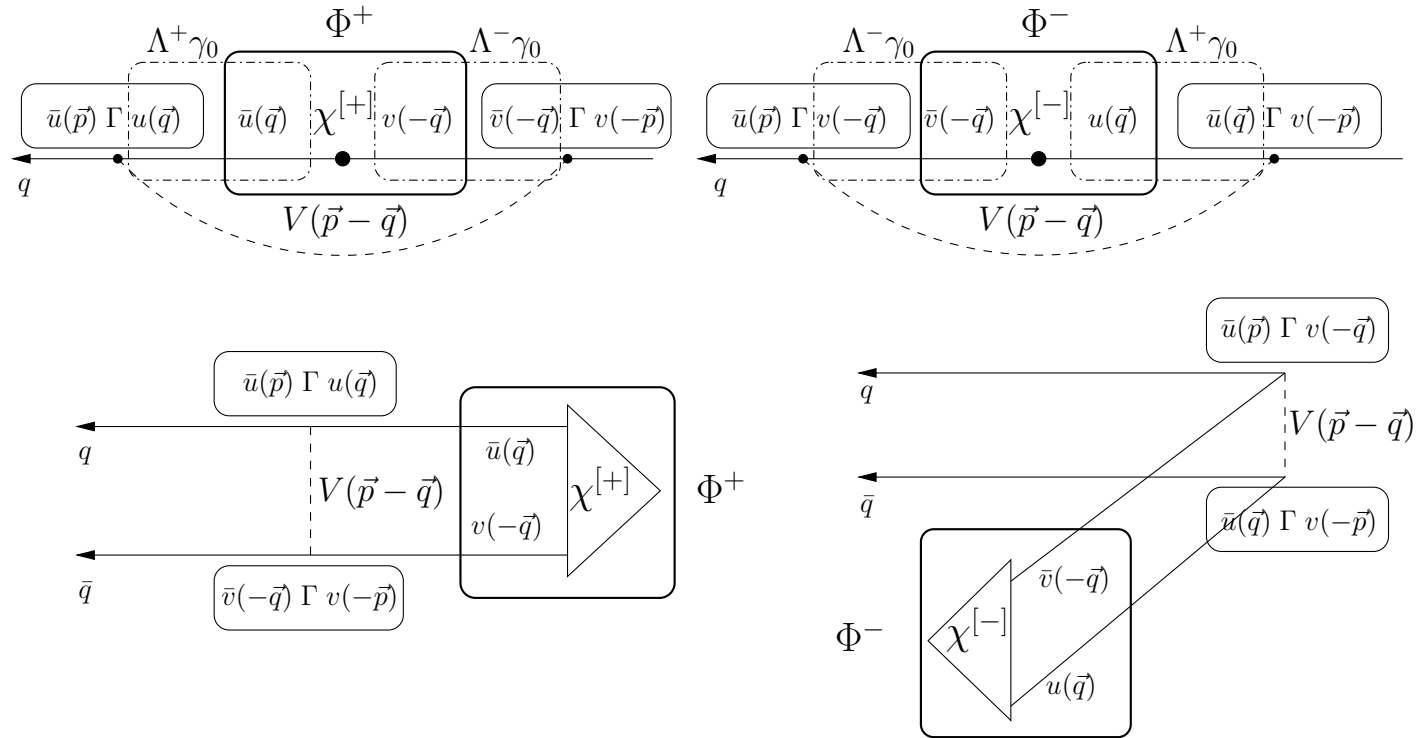


- this corresponds to

$$\begin{cases} [2E_p - M_\pi] \varphi_\pi^+(p) = \int \frac{q^2 dq}{(2\pi)^3} [T_\pi^{++}(p, q) \varphi_\pi^+(q) + T_\pi^{+-}(p, q) \varphi_\pi^-(q)] \\ [2E_p + M_\pi] \varphi_\pi^-(p) = \int \frac{q^2 dq}{(2\pi)^3} [T_\pi^{-+}(p, q) \varphi_\pi^+(q) + T_\pi^{--}(p, q) \varphi_\pi^-(q)], \end{cases}$$

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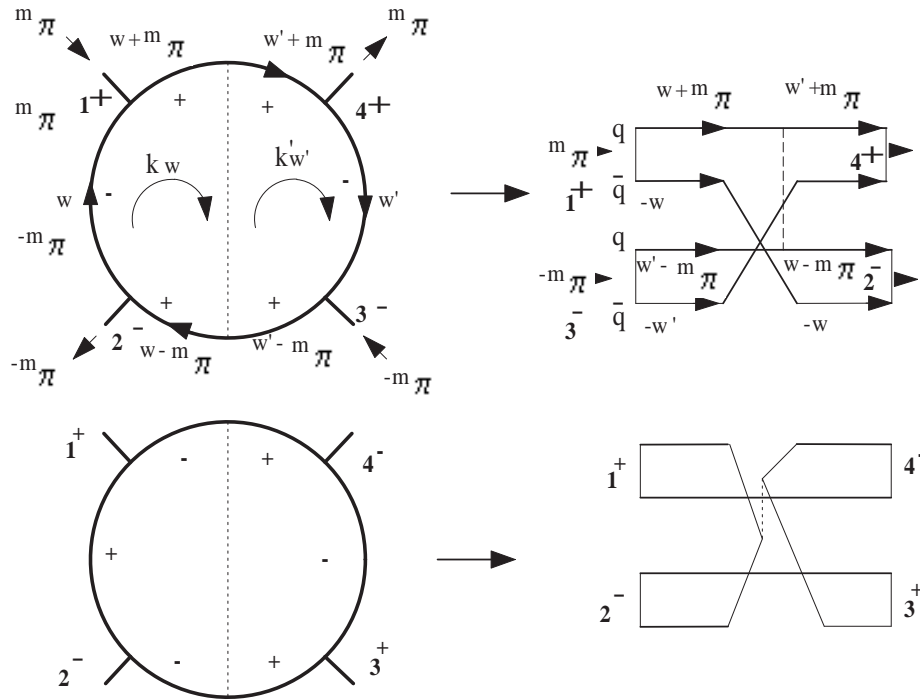
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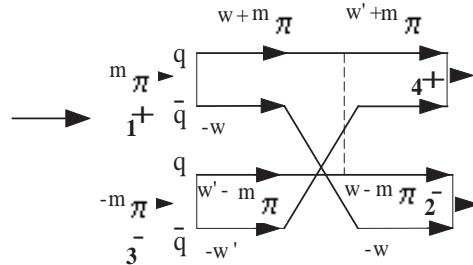
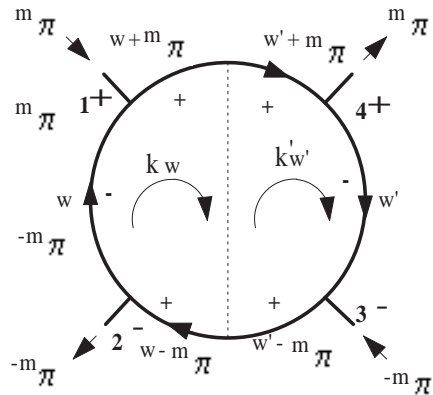
# $\pi$ - $\pi$ scattering

The Weinberg formula for the scattering lengths of pions. We have,

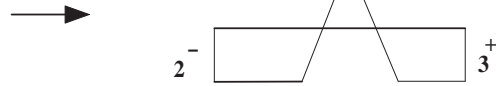
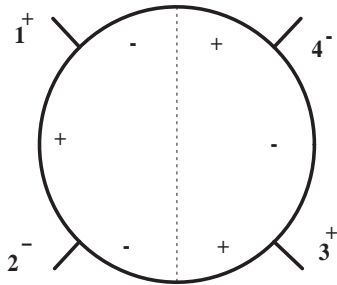


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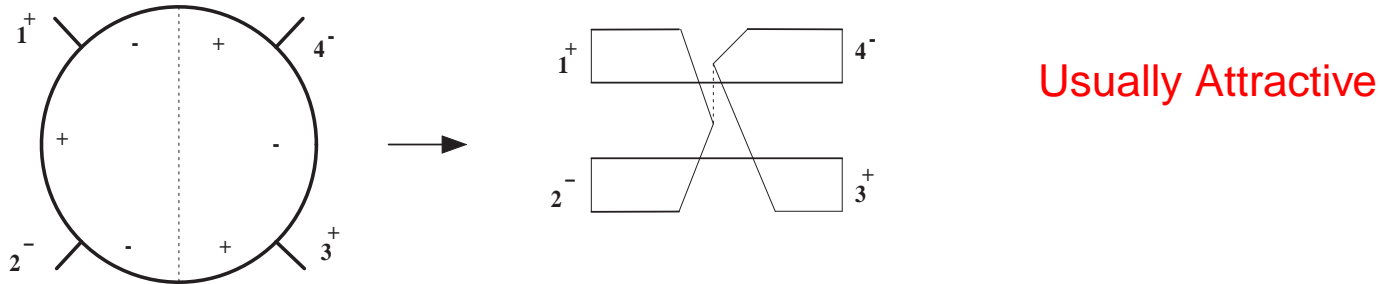
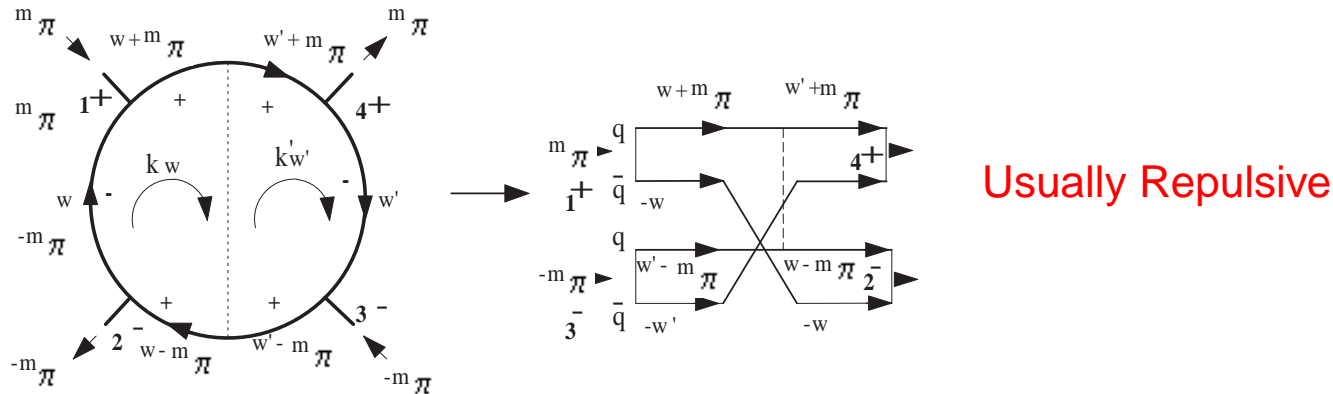


Usually Attractive

$$N[I] \begin{bmatrix} \Phi^{-2} & \Phi^{+2} \end{bmatrix} \begin{bmatrix} H^{++} & H^{+-} \\ H^{-+} & H^{--} \end{bmatrix} \begin{bmatrix} \Phi^{+2} \\ \Phi^{-2} \end{bmatrix} \rightarrow [N] m_{\pi} \begin{bmatrix} \Phi^{-2} & \Phi^{+2} \end{bmatrix} \sigma_3 \begin{bmatrix} \Phi^{+} \\ \Phi^{-} \end{bmatrix}$$

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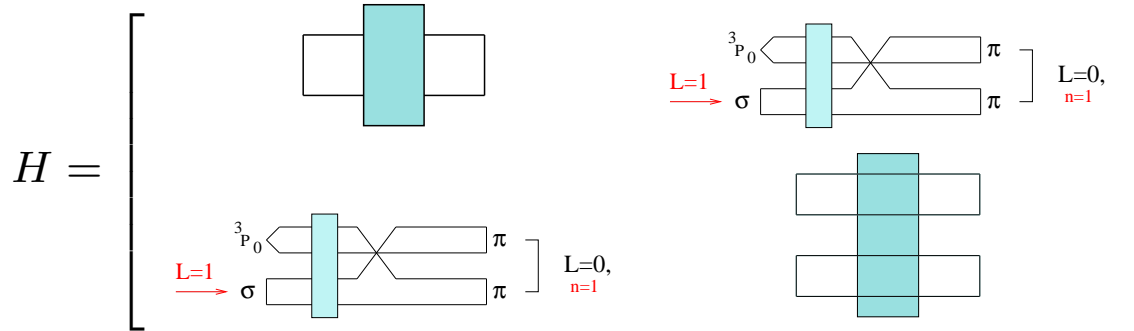
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It turns out that it is possible to obtain the Weinberg results

$$\sin \varphi \rightarrow 1, \left\{ -7/2 \frac{m_\pi^2}{f_\pi^2}, \quad 1 \frac{m_\pi^2}{f_\pi^2} \right\} \text{ JER, Bicudo, Sczcepaniak, Cotanch, Maris}$$

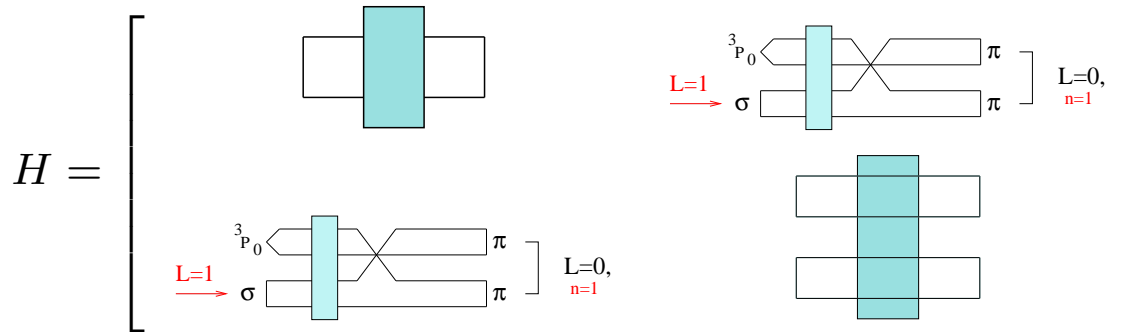
# Low Energy scalar resonances

We want to solve a set of coupled channel equations

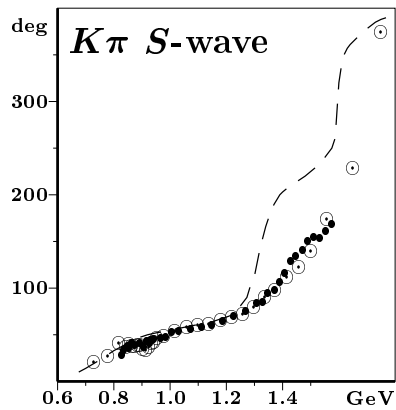


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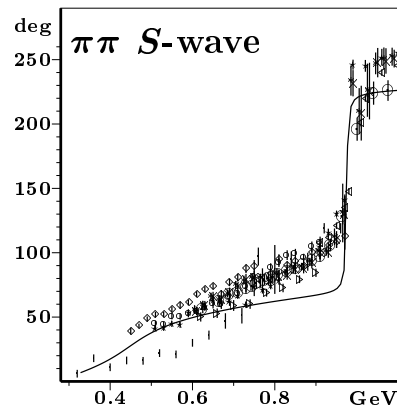
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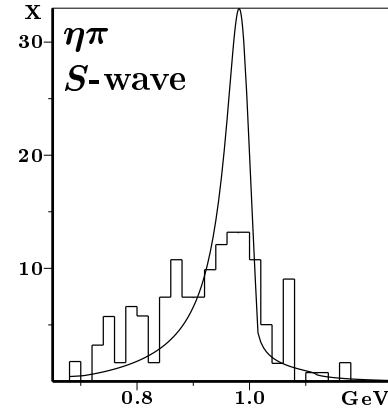
In 1986 we (with G. Rupp, E. Van Beveren et al) have obtained the following results,



$K\pi$  invariant mass  
 $\kappa$  pole:  $727 - i263$  MeV



$\pi\pi$  invariant mass  
 $\sigma$  pole:  $470 - i208$  MeV  
 $f_0$  pole:  $994 - i20$  MeV



$\eta\pi$  invariant mass  
 $a_0$  pole:  $962 - i28$  MeV

# Entropy of the broken vacuum

- Consideration of finite temperatures amounts to evaluation of both the vacuum energy and the entropy of the system simultaneously and then to the minimization the free energy with respect to the order parameter:  $S = -N_C N_f \sum_p \sum_{n=0}^2 w_{np} \log w_{np}$

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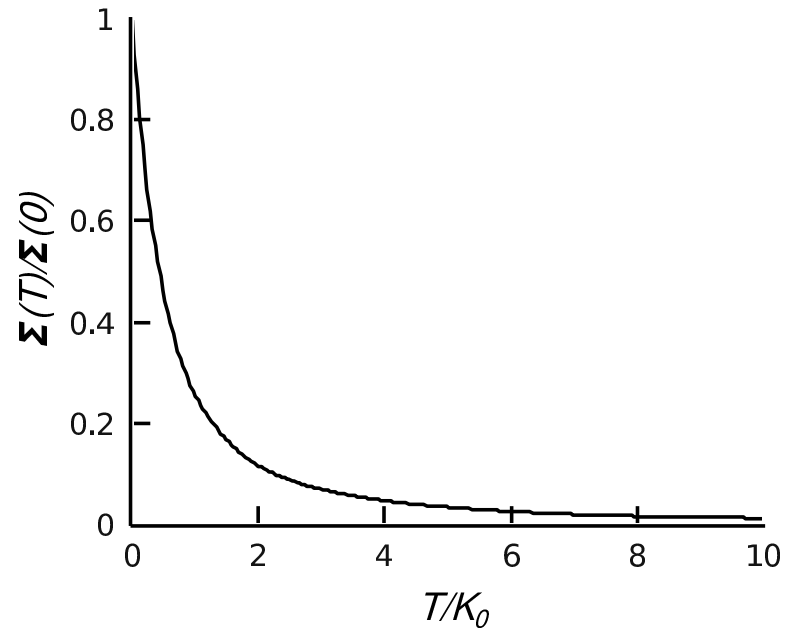
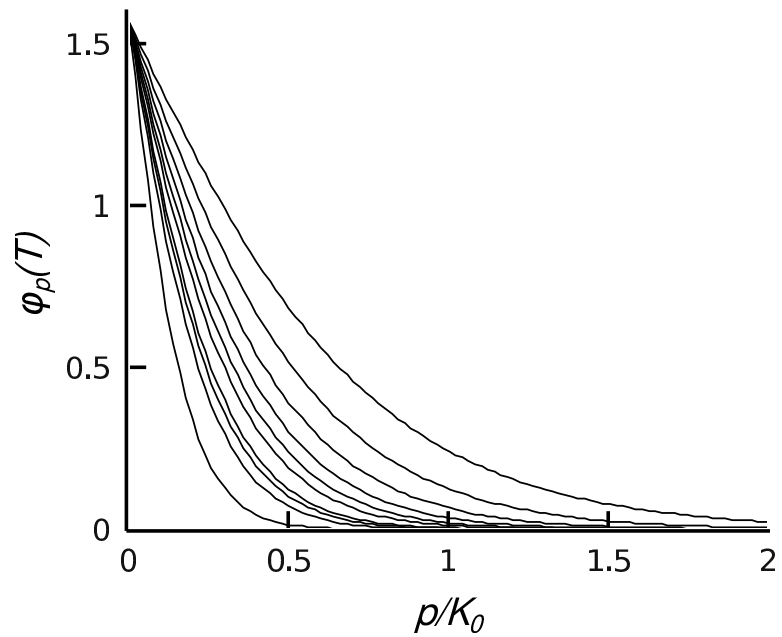
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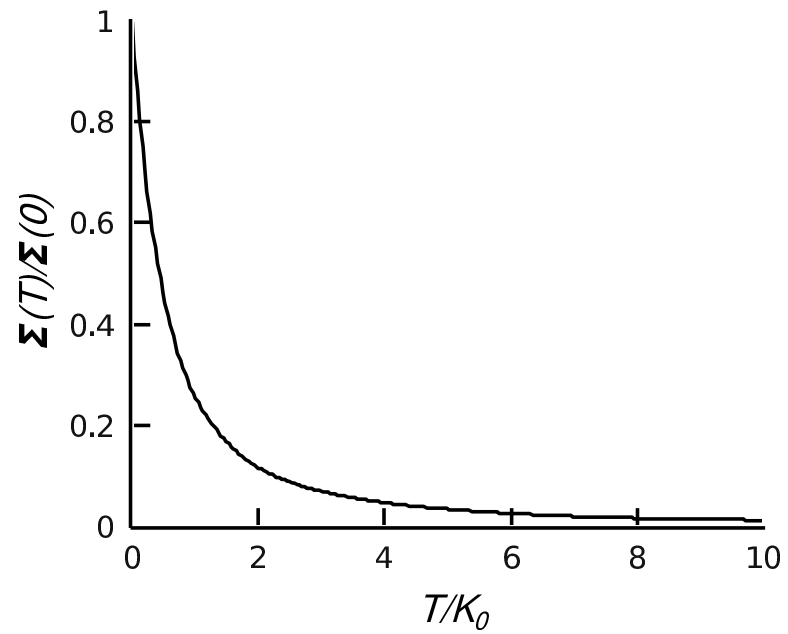
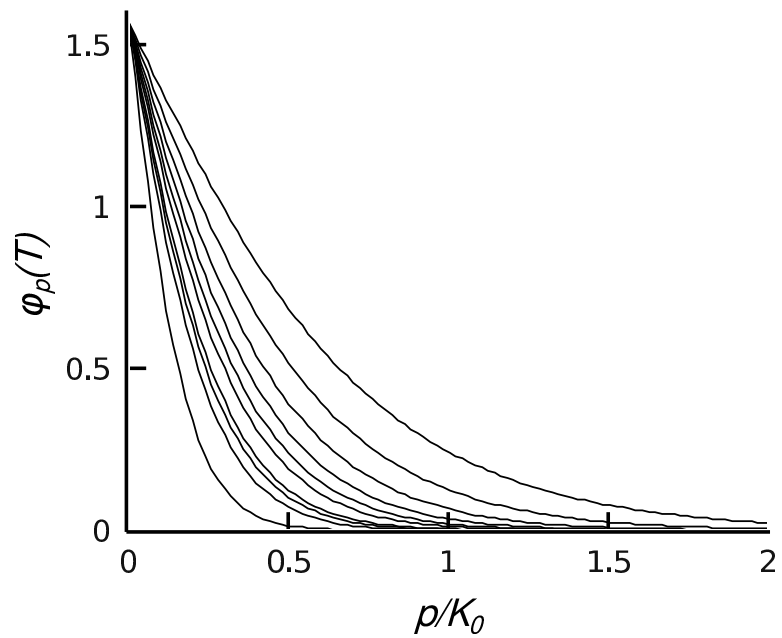
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- Then the mass-gap equation is  $\frac{\delta F_{\text{vac}}[\varphi]}{\delta \varphi_p} = \frac{\delta E_{\text{vac}}[\varphi]}{\delta \varphi_p} - T \frac{\delta S[\varphi]}{\delta \varphi_p} = 0$  which is nothing but a generalization of the zero-temperature mass-gap equation to finite temperatures. [with A. Nefediev](#)

# Chiral angle dependence with T



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# Summary

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- To ensure the existence of a Goldstone pion.
- To ensure that any microscopic calculation of  $\pi - \pi$  scattering must get the Weinberg results...
- ... and more generally, to control pion mediated reactions.
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And also,

- Coupled channels are presumably to be blamed for the existence of light scalars: In this sector it is very difficult to escape from “pole doubling”
- Heating produces quark-antiquark pair evaporation and changes the Fock space